



Ethics Office Monthly Report

February 2022

COMPLIANCE

Assisted Board members and employees with Assuming Office and Leaving Office Form 700 filings. Assistance included troubleshooting the electronic filing system and notifications of deadlines.

Monitored the status of past due Assuming Office and Leaving Office Form 700 filings; obtained compliance from five current and five former employees and sent filing notices to 20 former employees.

ADVICE

Addressed five advice matters involving: conflicts of interest, financial disclosure, and other ethics-related topics.

INVESTIGATIONS

Received complaints alleging that: 1) A supervisor threatened to retaliate against an employee to prevent reporting a workplace violation; 2) A Metropolitan official made discriminatory comments in the course of their duties; 3) An employee retaliated against another employee for reporting a workplace violation; and 4) A manager's mismanagement of staff and favoritism of some employees has led to negative impacts on staff productivity and career development opportunities.

ADMINISTRATION

Presented a proposal to the Audit & Ethics and Finance & Insurance committees to increase Ethics Office staff by four positions.

ADVICE AND INVESTIGATIVE DATA

Advice Matters	5
Compliance Assistance	67
Complaints Received	4
Investigations Opened	0
Pending Investigations	4