



## General Auditor's Report for May 2023

### Summary

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This report highlights significant activities of the Office of the General Auditor for the month ended May 31, 2023.

### Audit & Advisory Services

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Thirteen projects are in progress and two projects are in the reporting phase.

No final audit reports were issued during this period.

Additionally, one advisory services project is in process.

### Other General Auditor Activities

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#### 1. Risk Assessment Update

**Completed.** The General Auditor's risk assessment methodology was refreshed and presented at the May Subcommittee on Audits, where Board input on risk areas and internal control concerns was facilitated.

#### 2. General Auditor Business Plan for FY 2023/24

The General Auditor's business plan for the next fiscal year will be presented in a revised format for approval at the June Subcommittee on Audits and the July Board/FAIR Committee meetings in accordance with the Metropolitan Administrative Code and professional internal auditing standards. Meetings with 27 district managers are underway to gain insight into business risks that will provide another input on where we assign department resources for the next fiscal year.

#### 3. Audit Project Management System Upgrade

Coordination with the application vendor is in progress to upgrade the Office of the General Auditor's project management system to a secure, web-based, software-as-a-service solution. Expected deployment of the new application is July 2023.

#### 4. General Auditor Department Assessment

The new general auditor is conducting a department assessment that will result in a strategic plan driving future focus and optimal service delivery. Expected completion of this plan is August 2023.

#### 5. Quality Assessment

Professional standards require an external quality assessment of the General Auditor's internal audit function every five years. Coordination with the Institute of Internal Auditors is underway to contract this required assessment and answers the question, "Who audits the auditors." Expected completion of this assessment is in the Fall.